



<b>AMENDMENT TRANSMITTAL LETTER</b>			Docket No. 63134/P013US/10301974
Application No. 10/602,233-Conf. #1581	Filing Date June 24, 2003	Examiner Not Yet Assigned	Art Unit 3629

Applicant(s): Robert E. Sullivan et al.

Invention: SYSTEMS AND METHODS FOR TRANSACTION AND INFORMATION MANAGEMENT

**TO THE COMMISSIONER FOR PATENTS**

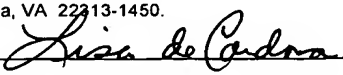
Transmitted herewith is an amendment in the above-identified application.  
The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	95	- 87 =	8	x 50.00	400.00
Independent Claims	5	- 5 =		x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>400.00</b>

- ☒ Large Entity ☐ Small Entity
- ☐ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. 06-2380 in the amount of \$ \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 400.00 to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-2380  
as described below.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
R. Ross Viguet  
Attorney/Agent Reg. No.: 42,203  
  
FULBRIGHT & JAWORSKI L.L.P.  
2200 Ross Avenue, Suite 2800  
Dallas, Texas 75201-2784  
(214) 855-8185

Dated: April 27, 2006

<b>Amendment Transmittal</b>	
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. 568256855US, on the date shown below in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: April 27, 2006	Signature:  (Lisa deCordova)

04-28-06

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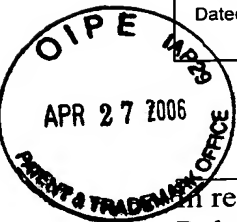
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV568256855US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: April 27, 2006

Signature:

*Lisa de Cordova*  
(Lisa deCordova)

Docket No.: 63134/P013US/10301974  
(PATENT)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Robert E. Sullivan et al.

Application No.: 10/602,233

Confirmation No.: 1581

Filed: June 24, 2003

Art Unit: 3629

For: **SYSTEMS AND METHODS FOR  
TRANSACTION AND INFORMATION  
MANAGEMENT**

Examiner: Not Assigned Yet

**FIRST PRELIMINARY AMENDMENT**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 17 of this paper.